

05/15/01

Jc912 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

Form for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No

9491-053-27 DIV

First Inventor or Application Identifier

ANDREW C. BRAISTED

Title

CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

Jc912 U.S. PTO

08/854816

05/15/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☐ Applicant claims small entity status.
2. ☒ Specification Total Pages **247**
(including 95 page sequence listing)
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **31**
4. ☒ Oath or Declaration Total Pages **5**
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☒ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☒ Request For Preparation of a Computer Readable Sequence Listing with Copy of First Page Sequence Listing
14. ☐ List of Inventors' Names and Addresses
15. ☒ Other: Copy Certificate Re: Sequence Listing Response
Paper Copy of Sequence Listing (121 pages)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 08/965,056, filed 11/5/1997 (pending)

Prior application information: Examiner: C. Delacroix-Muirheid

Group Art Unit: 1614

17. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)
of application Serial No. 08/965,056 Filed on 11/5/1997 (pending)which claims priority to: Provisional Application No. 60/049,787, filed June 16, 1997 (now abandoned);
Continuation-in-part Application Serial No. 08/876,698, filed June 16, 1997 (now abandoned); and Application Serial No. 08/743,698, filed November 6, 1996 (now abandoned).

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
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Name	Steven B. Kelber	Registration No.	30,073
Signature	<i>Laura D. Nammo</i>	Date	5-15-2001
Name	Laura D. Nammo	Telephone	202-861-3900
		Registration No.	42,024

<h1 style="text-align: center;">FEE TRANSMITTAL</h1>										Docket No.		9491-053-27 DIV								
										Serial No.		NEW DIVISIONAL APPLICATION								
										Filing Date		HEREWITH								
										Inventor(s)		ANDREW C. BRAISTED, ET AL.								
										Group Art Unit		UNASSIGNED								
TOTAL AMOUNT OF PAYMENT						\$1,078.00		Examiner		UNASSIGNED										
1. <input type="checkbox"/> Applicant claims small entity status. <input checked="" type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.										<h2 style="text-align: center;">FEE CALCULATION (continued)</h2>										
2. <input checked="" type="checkbox"/> Check enclosed.										<h3 style="text-align: center;">3. ADDITIONAL FEES</h3>										
										Large Entity		Small Entity		Fee Description						
										Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Paid				
FEE CALCULATION										105	130	205	65	Surcharge-late filing fee or oath						
1. BASIC FILING FEE										127	50	227	25	Surcharge-late provisional filing fee or cover sheet						
Large Entity		Small Entity		Fee Description						139	130	139	130	Non-English specification						
Fee Code	Fee (\$)	Fee Code	Fee (\$)							Fee Paid	147	2520	147	2520	Ex parte reexam fee					
101	710	201	355	Utility filing fee						710	115	110	215	55	1-mo ext of time					
106	320	206	160	Design filing fee							116	390	216	195	2-mo ext of time					
107	490	207	245	Plant filing fee							117	890	217	445	3-mo ext of time					
108	710	208	355	Reissue filing fee							118	1390	218	695	4-mo ext of time					
114	150	214	75	Provisional filing fee							128	1890	228	945	5-mo ext of time					
SUBTOTAL (1)											\$710.00	119	310	219	155	Notice of Appeal				
2. EXTRA CLAIM FEES										120	310	220	155	Appeal Brief						
Tot. claims		21		-	20*		=	1	x	\$18	=	18		121	270	221	135	Request for Oral Hearing		
Ind. claims		4		-	3*		=	1	x	\$80	=	80		142	1240	242	620	Utility/Reissue Issue Fee		
<input checked="" type="checkbox"/>		Multiple Dependent Claims						\$270	=	270		143	440	243	220	Design Issue Fee				
Large Entity		Small Entity		Fee Description						144	600	244	300	Plant Issue Fee						
Fee Code	Fee (\$)	Fee Code	Fee (\$)							122	130	222	130	Petitions to the Commissioner						
103	18	203	9	Claims in excess of 20						126	180	226	180	IDS Submission						
102	80	202	40	Independent claims in excess of 3						581	40	581	40	Assignment						
104	270	204	135	Multiple dependent claim, if not paid						179	710	279	355	For Filing RCE						
109	80	209	40	*Reissue independent claims over original patent						169	900	169	900	Expedited Design						
110	18	210	9	*Reissue claims in excess of 20 and over original patent						OTHER (indicate below)										
SUBTOTAL (2)											\$368.00									
* or number previously paid, if greater, For Reissues, see above										SUBTOTAL (3)								\$0.00		

Name	Steven B. Kelber	Registration No.		30,073	
Signature	<i>Laura D. Nammo</i>	Date	5-15-2001	Telephone	202-861-3900
Name	Laura D. Nammo	Registration No.		42,024	

DOCKET NO. 9491-053-27 DIV

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: :

ANDREW C. BRAISTED, ET AL. :

SERIAL NO: NEW DIVISIONAL APPLICATION :

FILED: HERewith :

FOR: CONSTRAINED HELICAL PEPTIDES AND METHODS OF MAKING SAME

**REQUEST FOR PREPARATION OF A COMPUTER-READABLE
SEQUENCE LISTING AND STATEMENT**

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

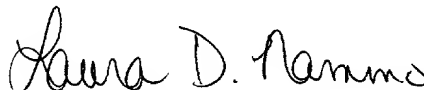
The paper copy of the Sequence Listing submitted in this application is identical to the computer-readable copy of the Sequence Listing of record in parent application Serial No. 08/965,056 filed November 5, 1997. In accordance with 37 CFR 1.821(e), please use the last-filed computer-readable form in parent application Serial No. 08/965,056 as the computer-readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary changes in application number, filing date and priority information (to include this application). A copy of the first page of the Sequence Listing highlighting the fields with information to be updated is attached hereto for the Examiner's convenience.

Respectfully submitted,

PIPER RUDNICK MARBURY & WOLFE, LLP

5-15-2001

Date



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Attorney of Record

Laura D. Nammo
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09354816 "051501"

COPY

Patent Docket P1005R2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Andrew C. Braisted et al. Serial No.: 08/743,698 Filed: 6 November 1996 For: Constrained Helical Peptides and Methods of Making Same	Group Art Unit: Unassigned Examiner: Unassigned CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231. Express Mail Number: <u>EM 239461777 US</u> November 5, 1997 <u>Duane Alexander Vick</u> Duane Alexander Vick
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CERTIFICATE RE: SEQUENCE LISTING

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby state that the Sequence Listing submitted herewith is submitted in paper copy and a computer-readable diskette, and that the content of the paper and computer readable copies are the same. I further state that this submission includes no new matter.

Respectfully submitted,
GENENTECH, INC.

Date: November 5, 1997

By: Timothy E. Torchia
Timothy E. Torchia, Ph.D.
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